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PTO/SB/81 (02-01)  
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Application Number	09/625,963
Filing Date	July 26, 2000
First Named Inventor	Hans Josef Stauss
Title:	Immunotherapeutic Methods Using Epitopes of WT-1 and GATA-1
Group Art Unit	1644
Examiner Name	A. Decloux
Attorney Docket Number	ICI 101

TECH CENTER 1600121003  
MAY 14 2003

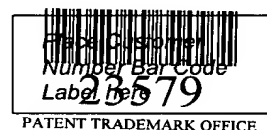
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Name	Registration Number
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Todd S. Hofmeister	P-53,029

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Patrea L. Pabst; Holland & Knight LLP

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

Name

X Dr Thomas Stanislawski

Signature

X *Thomas Stanislawski*

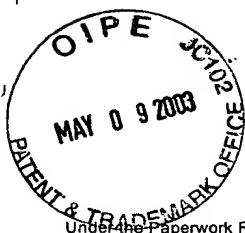
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NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 forms are submitted.

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Hans Josef Stauss and Ligan Gao

Application No./Patent No.: 09/625,963 Filed/Issue Date: July 26, 2000

Entitled: Immunotherapeutic Methods Using Epitopes of WT-1 and GATA-1

ImmuGenics AG

(Name of Assignee)

a public limited company of Germany

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Hans Josef Stauss and Ligan Gao To: Imperial College Innovations Limited  
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2. From: Imperial College Innovations Limited To: ImmuGenics AG  
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[ ] Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

X 01/03/2003  
Date

X THOMAS STANISLAWSKI  
Typed or printed name

X [Signature]  
Signature

X DR  
Title

## ASSIGNMENT

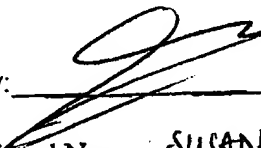
IMPERIAL COLLEGE INNOVATIONS LIMITED, a corporation of the United Kingdom, having its principal place of business at Sherfield Building, Imperial College London SW7 2ZA, United Kingdom (hereinafter "Assignor"), in consideration of ten Euro dollars and other valuable consideration paid to Assignor by ImmuGenics AG, a public limited company organized under the laws of Germany, having its principal place of business at Unikliniken, Langenbeckstrasse 1, Mainz 55131 Germany (hereinafter "Assignee"), the receipt of which is hereby acknowledged, does hereby sell, assign and transfer unto Assignee, its successors and assigns, the entire interest for the United States of America, and its territories and all foreign countries and jurisdictions, including all rights of priority under the International Convention for the Protection of Industrial Property, in a certain invention or improvement in "*Immunotherapeutic Methods Using Epitopes Of WT-1 and GATA-1*" described in U.S. Serial No. 09/625,963 filed in the United States Patent and Trademark Office on July 26, 2000 by Hans Josef Stauss and Liguang Gao, which is a continuation of PCT Application No. PCT/GB99/03572 filed in the United Kingdom Receiving Office for the Patent Cooperation Treaty on November 2, 1999, which claims priority to United Kingdom Application No. 9823897.5 filed November 2, 1998, and in all Letters Patent of the United States and its territories and all foreign countries and jurisdictions which may or shall be granted on said invention, or any parts thereof, or on said application, or any provisional, divisional, continuation, continuation-in-part, reissue, or other applications based in whole or in part thereon. And Assignor agrees, for itself and its executors and administrators, with Assignee and its successors and assigns, but at Assignee's expense or charges, hereafter to execute all applications, amended specifications, deeds or other instruments, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and its territories and in all other foreign countries and jurisdictions to Assignee, with specifications and claims in such form as shall be approved by the counsel of Assignee, and to vest and confirm in Assignee, its successors and assigns, the legal title to all such patents.

"Immunotherapeutic Methods Using Epitopes Of WT-1 and GATA-1"  
By: Hans Josef Stauss and Liqun Gao  
U.S.S.N. 09/625,963  
Filed July 26, 2000


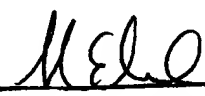
And Assignor does hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to Assignee, its successors and assigns.

WITNESS my hand and seal this 14 day of MARCH, 2003.

IMPERIAL COLLEGE INNOVATIONS LIMITED

By:   
Printed Name: SUSAN SEARLE  
Title: MANAGING DIRECTOR  
Date: 14 MARCH 2003

Witnesses:

1. Signed:   
Printed Name: JUSTIN COOKE  
Address: IMPERIAL INNOVATIONS, EEE BUILDING, IMPERIAL COLLEGE  
LONDON, EXHIBITION ROAD, LONDON SW7 2AZ
2. Signed:   
Printed Name: HELEN LATHAM  
Address: IMPERIAL INNOVATIONS LTD, EEE BUILDING,  
IMPERIAL COLLEGE LONDON SW7 2AZ



1644

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/625,963
	Filing Date	July 26, 2000
	First Named Inventor	Hans Josef Stauss
	Art Unit	1644
	Examiner Name	A. Decloux
Total Number of Pages in This Submission	Attorney Docket Number	ICI 101

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Firm or Individual	Patrea L. Pabst, Esq., Reg. No. 31,284 Holland & Knight LLP Suite 2000, One Atlantic Center; 1201 West Peachtree Street, N.E.; Atlanta, GA 30309-3400	
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